

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Boneham et al.
Filing Date: December 12, 2005
Serial No.: 10/537,852
Title: Peptide Oligomers for Use
as HIV Vaccines

RECEIVED

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FINANCE SECTION

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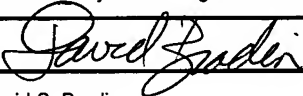
Total Number of Pages in This Submission

Application Number	10/537,852
Filing Date	December 12, 2005
First Named Inventor	Boneham et al
Art Unit	1646
Examiner Name	unassigned
Attorney Docket Number	E072 1060.1 (50718.7.7)

ENCLOSURES (Check all that apply)

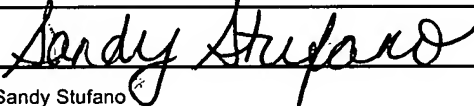
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Reply to Missing Parts/ Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input checked="" type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Other Enclosure(s) (please identify below):
Remarks The Director is authorized to charge this fee, and any other fee that may be required to Womble Carlyle's Deposit Account No. 09-0528.		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name	Womble Carlyle Sandridge & Rice, PLLC		
Signature			
Printed name	David S. Bradin		
Date	4/26/07	Reg. No.	37,783

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

Signature			
Typed or printed name	Sandy Stufano	Date	4/26/07

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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**REVOCATION OF POWER OF
ATTORNEY WITH
NEW POWER OF ATTORNEY
AND
CHANGE OF CORRESPONDENCE ADDRESS**

Application Number	10/537,852
Filing Date	12-12-2005
First Named Inventor	Boneham, et al.
Art Unit	1646
Examiner Name	Unknown
Attorney Docket Number	E072 1060.1 (50718.0007.7)

I hereby revoke all previous powers of attorney given in the above-identified application.

☐ A Power of Attorney is submitted herewith.

OR

☒ I hereby appoint the practitioners associated with the Customer Number:

26158

☒ Please change the correspondence address for the above-identified application to:

☒ The address associated with
Customer Number:

26158

OR

☐ Firm or
Individual Name

Address

City

State

Zip

Country

Telephone

Email

I am the:

☐ Applicant/Inventor.

☒ Assignee of record of the entire interest. See 37 CFR 3.71.
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)

SIGNATURE of Applicant or Assignee of Record

Signature

Name

PAUL CARTLEDGE, HEAD OF RESEARCH GRANTS AND CONTRACTS

Date

06 FEBRUARY 2007

Telephone

01159 15679

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

☒ *Total of 2 forms are submitted.

This collection of information is required by 37 CFR 1.36. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:)	Examiner:	Not Yet Assigned
Steven Paul BONEHAM)	Art Unit:	1646
Serial No: 10/537,852)	Conf. No.:	7202
Filed: December 12, 2005)	Docket No.:	E072 1060.1
For: Peptide Oligomers for Use)		
as HIV Vaccines)		

APPOINTMENT OF POWER OF ATTORNEY BY ASSIGNEE
OF ENTIRE INTEREST

Commissioner for Patents
Post Office Box 1450
Alexandria, Virginia 22313-1450

THE UNIVERSITY OF NOTTINGHAM, Assignee of the above-referenced patent application on file with the U.S. Patent and Trademark Office, by assignment document recorded at Reel 016882 / Frame 0182, hereby revokes any and all former Powers of Attorney and appoints David S. Bradin,, Reg. No. 37,783, and the practitioners associated with Customer Number 26158 as Applicant's attorneys with full power of substitution and revocation, to prosecute this application, to make alterations and amendments therein, to receive the patent, and to transact all business in the Patent and Trademark Office connected therewith.

Furthermore, in accordance with 37 CFR 3.73(b), the undersigned hereby states that the documentary evidence of a chain of title from the original owner to the Assignee, i.e., the assignment document referenced above, has been reviewed and the undersigned certifies that, to the best of Assignee's knowledge and belief, title is in Assignee who seeks to prosecute this application.

Please recognize the correspondence address for the above-identified application as the address associated with Customer Number 26158, Attention: David S. Bradin, Reg. No. 37,783 at 1.919.484.2382.

The undersigned (whose title is supplied below) is authorized to act on behalf of the Assignee.

Respectfully submitted,

Roger Brooks
26/1/17

Date

Name: *Roger Brooks*
Title: *Deputy Director Research and
Innovation Services*

University of Nottingham, The
Trent Building, University Park
Nottingham NG7 2RD
United Kingdom

Attorney Dkt. No.: E072.1060.1 (50718.0007.7)



UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

DECEMBER 13, 2005

PTAS
JAECKLE FLEISCHMANN & MUGEL, LLP
190 LINDEN OAKS
ROCHESTER, NY 14625-2812

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UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 571-272-3350. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, MAIL STOP: ASSIGNMENT SERVICES DIVISION, P.O. BOX 1450, ALEXANDRIA, VA 22313.

RECORDATION DATE: 12/12/2005

REEL/FRAME: 016882/0182
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).
DOCKET NUMBER: 87792.065605

ASSIGNOR:
BONEHAM, STEVEN PAUL

DOC DATE: 08/12/2005

ASSIGNOR:
BALL, JONATHAN KELVIN

DOC DATE: 08/01/2005

ASSIGNEE:
UNIVERSITY OF NOTTINGHAM, THE
TRENT BUILDING, UNIVERSITY PARK
NOTTINGHAM, UNITED KINGDOM NG7 2RD

SERIAL NUMBER: 10537852

FILING DATE:

PATENT NUMBER:

ISSUE DATE:

TITLE: PEPTIDE PRESENTATIONS FOR HUMAN IMMUNODEFICIENCY VIRUS VACCINES

016882/0182 PAGE 2

ALLYSON PURNELL, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

PATENT ASSIGNMENT

Electronic Version v1.1
Stylesheet Version v1.1

12/12/2005
500065079

SUBMISSION TYPE:

NEW ASSIGNMENT

NATURE OF CONVEYANCE:

ASSIGNMENT

CONVEYING PARTY DATA

Name	Execution Date
Steven Paul Boneham	08/12/2005
Jonathan Kelvin Ball	08/01/2005

RECEIVING PARTY DATA

Name:	University of Nottingham, The
Street Address:	Trent Building, University Park
City:	Nottingham
State/Country:	UNITED KINGDOM
Postal Code:	NG7 2RD

PROPERTY NUMBERS Total: 1

Property Type	Number
Application Number:	10537852

CORRESPONDENCE DATA

Fax Number: (585)899-2931
Correspondence will be sent via US Mail when the fax attempt is unsuccessful.
Phone: 5858992930
Email: trademarks@jaeckle.com
Correspondent Name: Jaeckle Fleischmann & Mugel, LLP
Address Line 1: 190 Linden Oaks
Address Line 4: Rochester, NEW YORK 14625-2812

ATTORNEY DOCKET NUMBER:

87792.065605

NAME OF SUBMITTER:

Susan J. Timian

Total Attachments: 2

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source=87792 065605 Assignment 10 537852#page2.tif

CH \$40.00 10537852

ASSIGNMENT

WHEREAS, We, Steven Paul Boneham, of School of Molecular Medicine, University of Nottingham, Queen's Medical Centre, Nottingham NG7 2UH, GB, and **Jonathan Kelvin Ball**, of School of Molecular Medicine, University of Nottingham, Queen's Medical Centre, Nottingham NG7 2UH, GB, have invented certain new and useful improvements in an invention entitled **Peptide Presentations For Human Immunodeficiency Virus Vaccines** for which We have executed an application for Letters Patent in the United States, filed as U.S. Application No. 10/537,852 on June 8, 2005;

WHEREAS, The University of Nottingham, with its principal place of business at Trent Building, University Park, Nottingham NG7 2RD, GB, desires to acquire the entire right, title and interest in, to and under the said Improvements and the said Application;

NOW, THEREFORE, for good and valuable consideration including salary or payment for the making of inventions, or employee benefits, the receipt and sufficiency of which is hereby acknowledged, We have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto the said **The University of Nottingham**, its successors, legal representatives and assigns, the entire right, title and interest throughout the world in, to and under the said Improvements, and the said Application and all extensions, divisionals, continuations, renewals and reissues thereof, and all Letters Patent of the United States which may be granted thereon and all extensions, divisionals, continuations, renewals and reissues thereof, and all rights of priority under International Conventions and applications for Letters Patent which may hereafter be filed for said Improvements in any country or countries foreign to the United States, and all Letters Patent which may be granted for said Improvements in any country or countries foreign to the United States and all extensions, renewals and reissues thereof; and including all rights to publish cautionary notices reserving ownership of the said Improvements and the said Application and all rights to register the said Improvements and the said Application in appropriate registries; and We hereby authorize and request the Commissioner of Patents of the United States, and any Official of any country or countries foreign to the United States, whose duty it is to issue patents on applications as aforesaid, to issue all Letters Patent for said Improvements to the said **The University of Nottingham**, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND WE HEREBY covenant that We have full right to convey the entire interest herein assigned, and that We have not executed, and will not execute, any agreement in conflict herewith.


AND WE HEREBY further covenant and agree that We will communicate to the said **The University of Nottingham**, its successors, legal representatives and assigns, any facts known to us respecting said Improvements and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid the said **The University of Nottingham**, its successors,

legal representatives and assigns, to obtain and enforce proper patent protection for said Improvements in all countries.

12/08/05
Date


Steven Paul Boneham

Witnessed by:


Witness Signature

HC CONROY
Witness Printed Name

Witness Full Residence Address


88 INSKIP TERRACE
GATESHEAD
NE8 4AJ

Witness Signature

Witness Printed Name

Witness Full Residence Address

01/08/05
Date


Jonathan Kelvin Ball

Witnessed by:


Witness Signature

TAWAR RAJIV G.
Witness Printed Name

Witness Full Residence Address

68 MONTPELIER ROAD,
DUNKIRK,
NOTTS. NG7 2JX

Witness Signature

Witness Printed Name

Witness Full Residence Address